

**TEXAS ETHICS COMMISSION**

**ANNUAL REPORT OF  
NONFINANCIAL DATA**

**For The Year Ended August 31, 2020**

**Anne Temple Peters  
Executive Director**

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# TEXAS ETHICS COMMISSION

P.O. Box 12070, Capitol Station  
Austin, Texas 78711-2070

Chad M. Craycraft  
Chair

Mary K. "Katie" Kennedy  
Vice Chair

Anne Temple Peters  
Executive Director



Commissioners

Randall H. Erben  
Chris Flood  
Patrick W. Mizell  
Richard S. Schmidt  
Joseph O. Slovacek  
Steven D. Wolens

December 29, 2020

Honorable Greg Abbott, Governor  
John McGready, Director, Legislative Budget Board  
Lisa Collier, First Assistant State Auditor

Dear Lady and Gentlemen:

We are pleased to submit the Annual Report of Nonfinancial Data of the Texas Ethics Commission for the year ended August 31, 2020 in compliance with TEX. GOV'T CODE ANN. Sec. 2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

The accompanying report has not been audited and is considered to be independent of the agency's Annual Financial Report.

If you have any questions, please contact Cristina Hernández at 512-463-5784.

Sincerely,

*/s/ Anne Temple Peters*

Anne Temple Peters  
Executive Director

[www.ethics.state.tx.us](http://www.ethics.state.tx.us)

(512) 463-5800 • TDD (800) 735-2989  
Promoting Public Confidence in Government

UNAUDITED

TEXAS ETHICS COMMISSION (356)  
 SCHEDULE 1  
 APPROPRIATION ITEM TRANSFERS \*  
 For the Fiscal Year Ended August 31, 2020

ITEMS OF APPROPRIATION

	<u>Transfers In</u>	<u>Transfers Out</u>	<u>Net Transfers</u>
A. Goal: Administer Ethics Laws			
Strategies:			
A.1.1. Disclosure Filing	\$ 0.00	\$ 0.00	\$ 0.00
A.1.2. Advisory Opinions	50,000.00		50,000.00
A.1.3. Enforcement		(50,000.00)	(50,000.00)
	<u>50,000.00</u>	<u>(50,000.00)</u>	<u>0.00</u>
Total Goal A: Administer Ethics Laws			
B. Goal: Indirect Administration			
Strategies:			
B.1.1. General Administration	25,000.00	0.00	25,000.00
B.1.2. Information Resources		(25,000.00)	(25,000.00)
	<u>25,000.00</u>	<u>(25,000.00)</u>	<u>0.00</u>
Total Goal B: Indirect Administration			
Net Appropriation Item Transfers	<u>\$ 75,000.00</u>	<u>\$ (75,000.00)</u>	<u>\$ 0.00</u>

\*This schedule does not include benefit replacement pay, salary increase, or rider transfers.

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TEXAS ETHICS COMMISSION (356)  
 SCHEDULE 2  
 HUB STRATEGIC PLAN PROGRESS REPORT  
 For the Fiscal Year Ended August 31, 2020

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

HUB Report Procurement Categories	Fiscal 2019		Fiscal 2020		Fiscal 2021
	Agency Specific HUB Goal	% of Dollars Spent with HUBs	Agency Specific HUB Goal	% of Dollars Spent with HUBs	Agency Specific HUB Goal
Heavy Construction other than building contracts	n/a		n/a		n/a
Building Construction, including general contractors and operative builders contracts	n/a		n/a		n/a
Special Trade construction contracts	n/a		n/a		n/a
Professional Service Contracts	23.70%	100.0%	23.70%	100.0%	23.70%
Other Services Contracts	26.00%	63.9%	26.00%	72.5%	26.00%
Commodities Contracts	21.10%	33.3%	21.10%	58.8%	21.10%

Prepared By: Cristina Hernández

Printed Name: Cristina Hernandez

Phone Number: (512) 463-5784

Approved: /s/ Anne Temple Peters

Printed Name: Anne Temple Peters

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TEXAS ETHICS COMMISSION (356)  
 SCHEDULE 3  
 INDIRECT COSTS  
 For the Fiscal Year Ended August 31, 2020

a.	Payroll Related Costs (does not include amounts paid from appropriated funds for employee benefits)		
	FICA Employer Matching Contribution	\$ 145,611.85	
	Group Health Insurance	350,234.20	
	Retirement	160,891.20	
	Unemployment Compensation	<u>2,326.50</u>	
	<b>Total Payroll Related Costs</b>		659,063.75
	Benefit Replacement Pay (BRP)	<u>2,420.62</u>	
	<b>Total BRP and Workers' Compensation</b>		2,420.62
b.	Indirect Costs		
	Bond Debt Service Payments:		
	TFC	<u>0.00</u>	
	<b>Total Indirect Costs</b>		0.00
c.	Indirect Costs - Statewide Cost Allocation Plan (based on FY17 data) - Full Cost Method		
	Building Depreciation	18,373.00	
	Equipment Depreciation		
	CPA Administration		
	CPA Fiscal and ITD	3,531.00	
	CPA Purchasing & Support (TPASS)	10,462.00	
	CPA Rebates	(177.00)	
	DIR		
	DPS Capitol Security	14,947.00	
	TFC Admin		
	TFC Building & Utilities		
	TFC Planning & Real Estate Management	185,718.00	
	Governor Budget & Planning	26.00	
	SORM		
	State Preservation Board		
	State Senate		
	Hse of Representatives		
	Legislative Council		
	Legislative Budget		
	Reference Library		
	Sunset Advisory Brd		
	<b>Total Indirect Costs - Statewide Cost Allocation Plan</b>		<u>232,880.00</u>
	<b>TOTAL INDIRECT COSTS</b>		<u><u>\$ 894,364.37</u></u>

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TEXAS ETHICS COMMISSION (356)  
 SCHEDULE 4  
 PROFESSIONAL/CONSULTING FEES AND LEGAL SERVICE FEES  
 For the Fiscal Year Ended August 31, 2020

<u>NAME</u>	<u>CITY</u>	<u>SERVICE RENDERED</u>	<u>AMOUNT</u>
<u>PROFESSIONAL/CONSULTING FEES</u>			
Diane W. Fulmer, CPA	Round Rock	Accounting Services	\$
Tx Dpt of Information Resources	Austin	Computer Services	928.09
Tx Dpt of Information Resources	Austin	Information Technology	
Workers Assistance Program Inc	Austin	Other Professional Svcs	
Other Less than \$200			
		Total Professional Fees	\$ <u>928.09</u>
<u>LEGAL SERVICE FEES</u>			
Butler Snow LLP	Ridgeland, MS	Legal Services	<u>171,518.75</u>
		Total Legal Fees	<u>171,518.75</u>
		Total Professional and Legal Fees	\$ <u><u>172,446.84</u></u>

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TEXAS ETHICS COMMISSION (356)  
 SCHEDULE 5  
 SPACE OCCUPIED  
 For the Fiscal Year Ended August 31, 2020

<u>LOCATION</u>	<u>SQ. FT.</u>	<u>COST PER SQ. FT.</u>	<u>COST PER MONTH</u>	<u>ANNUAL COST</u>
Headquarters: Sam Houston Building 201 E. 14th St. Austin, Texas Period 9/1/18-8/31/19	9,708	\$0.00	\$0.00	\$0.00

The agency occupies space in a state owned building at no cost to the agency.

<u>LOCATION</u>	<u>CU FT</u>	<u>MO COST PER CU. FT.</u>	<u>COST PER MONTH</u>	<u>ANNUAL COST</u>
Leased Space: Storage of Records/Disks State Library & Archives 1201 Brazos Austin, Texas	1.2/box	\$0.43	varies based on # of boxes	\$470.19



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SCHEDULE 6  
BONDED EMPLOYEES  
For the Fiscal Year Ended August 31, 2020

Not applicable - there are no bonded employees